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|---|--------------------|-------------|--------------------------|---------------------|------------------|
| I | APPLICATION NO.    | FILING DATE | FIRST NAMED INVENTOR     | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| Ī | 09/702,037         | 10/27/2000  | Peter Bennett Duff Whyte | U013032-6           | 8344             |
|   | LADAS & PAI        | Γ STREET    | )                        | EXAMINER            |                  |
|   | 26 WEST 61ST       |             |                          | WARE, DEBORAH K     |                  |
|   | NEW YORK, NY 10023 |             |                          | ART UNIT            | PAPER NUMBER     |
|   |                    |             |                          | 1651                |                  |
|   |                    |             |                          |                     |                  |
|   |                    |             |                          | NOTIFICATION DATE   | DELIVERY MODE    |
|   |                    |             |                          | 01/22/2010          | ELECTRONIC       |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

nyuspatactions@ladas.com

## Notice of Non-Compliant Amendment (37 CFR 1.121)

| Application No. | Applicant(s) |           |  |
|-----------------|--------------|-----------|--|
| 09/702,037      | WHYTE, PETER | R BENNETT |  |
| Examiner        | Art Unit     |           |  |
| DEBBIE K. WARE  | 1651         |           |  |

The amendment document filed on <u>05 November 2009</u> is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

| HE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:  1. Amendments to the specification:  A. Amended paragraph(s) do not include markings.  B. New paragraph(s) should not be underlined.   |  |  |  |  |  |   |  |  |
|---|--|--|--|--|--|---|--|--|
| 2. Abstract:  A. Not presented on a separate sheet. 37 CFR 1.72.  B. Other  |  |  |  |  |  |   |  |  |
| ③ A. A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).      ⑤ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.      ⑤ C. Other   |  |  |  |  |  |   |  |  |
| <ul> <li>✓ 4. Amendments to the claims:</li> <li>A. A complete listing of all of the claims is not present.</li> <li>B. The listing of claims does not include the text of all pending claims (including withdrawn claims)</li> <li>✓ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).</li> <li>□ D. The claims of this amendment paper have not been presented in ascending numerical order.</li> <li>✓ E. Other: See Continuation Sheet.</li> </ul> |  |  |  |  |  |   |  |  |
| 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):   |  |  |  |  |  |   |  |  |
| For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.   |  |  |  |  |  |   |  |  |
| TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:   |  |  |  |  |  |   |  |  |
| Applicant is given <b>no new time period</b> if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the <b>entire corrected amendment</b> must be resubmitted.   |  |  |  |  |  |   |  |  |
| Applicant is given <b>one month</b> , or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compilant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a <i>Quayle</i> action. If any of above boxes 1. to 4. are checked, the correction required is only the <b>corrected section</b> of the non-compilant amendment in compliance with 37 CFR 1.121.  |  |  |  |  |  |   |  |  |
| Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a <i>Quayle</i> action.  Failure to timely respond to this notice will result in:  Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a <i>Quayle</i> action; or  Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.   |  |  |  |  |  |   |  |  |
|   |  |  |  |  |  | Deborah K. Ware/<br>Deborah K. Ware<br>Syamings |  |  |

<sup>--</sup> The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Notice of Non-Compliant Amendment (37 CFR 1.121)

Continuation of 4(e) Other. Also the amendment to claim 28, line 3 deletes the comma, "," after "colostrum fraction" improperly since there is no amendment showing its deletion from claim 28, at line 3, or inadvertently left the "" out of the text of the claim 28, at line 3, upon inserting the amendment in claim 28, at line 3. Also, claims 34 and 37 use the wrong identifiers because the claims are previously presented but not currently amended as set forth in the amendment of November 5, 2009.